



PATENT

Ifw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Junichi KINOSHITA et al.

Serial No. 10/567,560

Filed: February 8, 2006

)
)
)
)
)
)

Group Art Unit: 2874

Docket No. 004476.00044

Confirmation No: 2479

For: PACKAGE FOR LIGHT EMITTING ELEMENT AND MANUFACTURING METHOD THEREOF

REQUEST FOR CORRECTED FILING RECEIPT

U.S. Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Dear Sir:

It is requested that a Corrected Filing Receipt be issued to correct errors found in the Official Filing Receipt. Specifically, one of the inventors has been omitted. Please insert --Takao MIZUKAMI-- as the third inventor and also insert this inventor's address --Kanagawa-ken, JAPAN--.

A copy of the Official Filing Receipt with the corrections marked in red, along with the original, is attached. Also enclosed is the application data sheet listing the correct inventors. No fee is believed to be associated with this paper. Nonetheless, should the USPTO determine that a fee is required, please charge such a fee to our Deposit Account No. 19-0733.

Respectfully submitted,

Date: May 18, 2007

By:

Christopher R. Glembocki
Registration No. 38,800

Banner & Witcoff, Ltd.
1100 13th Street, N.W., Suite 1200
Washington, D.C. 20005-4051
Telephone: 202-824-3000



UNITED STATES PATENT AND TRADEMARK OFFICE

JMP/CRG/Intl

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/567,560	02/08/2006	2874	1200	004476.00044	22	4

22907
 BANNER & WITCOFF, LTD.
 1100 13th STREET, N.W.
 SUITE 1200
 WASHINGTON, DC 20005-4051

RECEIVED

APR 16 2007

CONFIRMATION NO. 2479

FILING RECEIPT



OC000000020417271

BANNER & WITCOFF, LTD.

Date Mailed: 04/16/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

1. Junichi Kinoshita, Ehime-ken, JAPAN;
2. Tsuneo Nakayama, Ehime-ken, JAPAN;
3. Yuji Wagatsuma, Kanagawa-ken, JAPAN;
4. Kiyoshi Matsunaga, Ehime-ken, JAPAN;
5. Naoki Matsuoka, Ehime-ken, JAPAN;
6. Norihiko Ochi, Ehime-ken, JAPAN;

DOCKETED

APR 17 2007 TMH

3. Takao Mizukami, Kanagawa-ken
 JAPAN

Assignment For Published Patent Application

HARISON TOSHIBA LIGHTING CORPORATION, Imabari-shi Ehime, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 22907.**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP04/16734 11/11/2004

Foreign Applications

JAPAN 2003-384956 11/14/2003

If Required, Foreign Filing License Granted: 09/13/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/567,560**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Package for light emitting element and manufacturing method thereof

Preliminary Class

385

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

JMP/CRG/Intl

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/567,560	02/08/2006	2874	1200	004476.00044	22	4

22907
 BANNER & WITCOFF, LTD.
 1100 13th STREET, N.W.
 SUITE 1200
 WASHINGTON, DC 20005-4051

RECEIVED

APR 16 2007

BANNER & WITCOFF, LTD.

CONFIRMATION NO. 2479

FILING RECEIPT



OC000000020417271

Date Mailed: 04/16/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Junichi Kinoshita, Ehime-ken, JAPAN;
 Tsuneo Nakayama, Ehime-ken, JAPAN;
 Yuji Wagatsuma, Kanagawa-ken, JAPAN;
 Kiyoshi Matsunaga, Ehime-ken, JAPAN;
 Naoki Matsuoka, Ehime-ken, JAPAN;
 Norihiko Ochi, Ehime-ken, JAPAN;

DOCKETED

APR 17 2007 TMH

Assignment For Published Patent Application

HARISON TOSHIBA LIGHTING CORPORATION, Imabari-shi Ehime, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 22907.**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP04/16734 11/11/2004

Foreign Applications

JAPAN 2003-384956 11/14/2003

If Required, Foreign Filing License Granted: 09/13/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/567,560**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Package for light emitting element and manufacturing method thereof

Preliminary Class

385

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

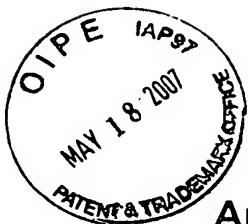
LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Application Data Sheet

Application Information

Application number::	TBA
Filing Date::	08 February 2006
Application Type::	Regular
Subject Matter::	Utility
Suggested classification::	
Suggested Group Art Unit::	
CD-ROM or CD-R?::	NONE
Number of CD disks::	
Number of copies of CDs::	
Sequence submission?::	NO
Computer Readable Form (CRF)?::	
Number of copies of CRF::	
Title::	PACKAGE FOR LIGHT EMITTING ELEMENT AND MANUFACTURING METHOD THEREOF
Attorney Docket Number::	004476.00044
Request for Early Publication?::	NO
Request for Non-Publication?::	NO
Suggested Drawing Figure::	
Total Drawing Sheets::	9
Small Entity?::	NO
Latin name::	
Variety denomination name::	
Petition included?::	NO
Petition Type::	
Licensed US Govt. Agency::	
Contract or Grant Numbers::	
Secrecy Order in Parent Appl.?::	NO

Applicant Information

Applicant Authority Type::	Inventor
Primary Citizenship Country::	JP
Status::	Full Capacity
Given Name::	Junichi
Middle Name::	
Family Name::	KINOSHITA
City of Residence::	Ehime-ken
State or Province of Residence::	
Country of Residence::	JP
Street of mailing address::	c/o Harison Toshiba Lighting Corporation
City of mailing address::	Imabari-Shi
State or Province of mailing address::	Ehime
Country of mailing address::	JP
Postal or Zip Code of mailing address::	794-0042

Applicant Authority Type::	Inventor
Primary Citizenship Country::	JP
Status::	Full Capacity
Given Name::	Tsuneo
Middle Name::	
Family Name::	NAKAYAMA
City of Residence::	Ehime-ken
State or Province of Residence::	
Country of Residence::	JP
Street of mailing address::	c/o Harison Toshiba Lighting Corporation
City of mailing address::	Imabari-shi
State or Province of mailing address:	Ehime
Country of mailing address::	JP
Postal or Zip Code of mailing address::	794-0042

Applicant Authority Type::	Inventor
Primary Citizenship Country::	JP
Status::	Full Capacity
Given Name::	Takao
Middle Name::	
Family Name::	MIZUKAMI
City of Residence::	Kanagawa-ken
State or Province of Residence::	
Country of Residence::	JP
Street of mailing address::	c/o Harison Toshiba Lighting Corporation
City of mailing address::	Imabari-shi
State or Province of mailing address::	Ehime
Country of mailing address::	JP
Postal or Zip Code of mailing address:	794-0042
Applicant Authority Type::	Inventor

Primary Citizenship Country::	JP
Status::	Full Capacity
Given Name::	Yuji
Middle Name::	
Family Name::	WAGATSUMA
City of Residence::	Kanagawa-ken
State or Province of Residence::	
Country of Residence::	JP
Street of mailing address::	c/o Harison Toshiba Lighting Corporation
City of mailing address::	Imabari-shi
State or Province of mailing address::	Ehime
Country of mailing address::	JP
Postal or Zip Code of mailing address:	794-0042

Applicant Authority Type:: **Inventor**
Primary Citizenship Country:: JP
Status:: Full Capacity
Given Name:: Kiyoshi
Middle Name::
Family Name:: MATSUNAGA
City of Residence:: Ehime-ken
State or Province of Residence::
Country of Residence:: JP
Street of mailing address:: c/o Harison Toshiba Lighting Corporation
City of mailing address:: Imabari-shi
State or Province of mailing address:: Ehime
Country of mailing address:: JP
Postal or Zip Code of mailing address: 794-0042

Applicant Authority Type:: **Inventor**
Primary Citizenship Country:: JP
Status:: Full Capacity
Given Name:: Naoki
Middle Name::
Family Name:: MATSUOKA
City of Residence:: Ehime-ken
State or Province of Residence::
Country of Residence:: JP
Street of mailing address:: c/o Harison Toshiba Lighting Corporation
City of mailing address:: Imabari-shi
State or Province of mailing address:: Ehime
Country of mailing address:: JP
Postal or Zip Code of mailing address: 794-0042

Applicant Authority Type::	Inventor
Primary Citizenship Country::	JP
Status::	Full Capacity
Given Name::	Norihiko
Middle Name::	
Family Name::	OCHI
City of Residence::	Ehime-ken
State or Province of Residence::	
Country of Residence::	JP
Street of mailing address::	c/o Harison Toshiba Lighting Corporation
City of mailing address::	Imabari-shi
State or Province of mailing address::	Ehime
Country of mailing address::	JP
Postal or Zip Code of mailing address:	794-0042

Correspondence Information

Correspondence Customer Number:: 22907

Representative Information

Representative Customer Number:: 22907